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Review

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Cyclodextrin-based nanogels for pharmaceutical and biomedical applications

Maria D. Moya-Ortega^a, Carmen Alvarez-Lorenzo^b, Angel Concheiro^b, Thorsteinn Loftsson^{a,∗}

^a Faculty of Pharmaceutical Sciences, University of Iceland, Hofsvallagata 53, IS-107 Reykjavik, Iceland

^b Departamento de Farmacia y Tecnologia Farmaceutica, Facultad de Farmacia, Universidad de Santiago de Compostela, 15782 Santiago de Compostela, Spain

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A B S T R A C T

Hydrophilic nanogels combine the advantages of hydrogels with certain advantages that are inherent in their nanoscale size. Similar to macrogels, nanogels can contain and protect drugs and regulate their release by incorporating high-affinity functional groups, stimuli-responsive conformations and biodegradable bonds into the polymer network. Similar to nanoparticles, nanogels can easily be administered in liquid form for parenteral drug delivery. The nanoscale size of nanogels gives them a high specific surface area thatis available for further bioconjugation of active targeting agents. Biodistribution and drug release can be modulated through size adjustments. The incorporation of hydrophilic cyclodextrin (CD) moieties into the polymeric network of the nanogels provides them with a drug loading and release mechanism that is based on the formation of inclusion complexes without decreasing the hydrophilicity of the network. The covalent attachment of CD molecules to the chemically crosslinked networks may enable the CDs to display fully their ability to form complexes, while simultaneously preventing drug release upon media dilution. The preparation, characterization and advantages for pharmaceutical and biomedical applications of CD-based nanogels are reviewed in this article.

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Contents

1. Introduction

Poor aqueous solubility, lack of efficacy and toxicity of the drug candidates are major causes of failure during drug development. According to the Biopharmaceutics Classification System, 40% of the currently marketed drugs and approximately 90% of new drug candidates are not sufficiently water-soluble to achieve therapeutic concentrations in physiological fluids [\(Heimbach](#page-10-0) et [al.,](#page-10-0) [2007\).](#page-10-0) Many water-soluble nanocarrier systems (with preferred diameters between 10 and 100 nm) are able to encapsulate hydrophobic drugs in colloidal structures to provide them with desirable drug pharmacokinetics and drug interactions to target specific tissue [\(Kreuter,](#page-10-0) [1994\).](#page-10-0) Such nanometric systems can include liposomes, microemulsions, submicron lipid emulsions, lipid nanoparticles, polymeric nanoparticles, polymeric micelles, and nanogels [\(Fig.](#page-1-0) 1) ([Rhee](#page-10-0) [and](#page-10-0) [Mansour,](#page-10-0) [2011;](#page-10-0) [Kabanov](#page-10-0) [and](#page-10-0) [Gendelman,](#page-10-0) [2007;](#page-10-0) [Ruggiero](#page-10-0) et [al.,](#page-10-0) [2010\).](#page-10-0) Because the performance and safety of the nanocarriers cannot be evaluated by the current guidelines for conventional drug dosage mechanisms, a search for adequate regulatory criteria for these novel structures can be both challenging and time-consuming ([Bawa,](#page-9-0) [2011\).](#page-9-0) A better understanding of the physicochemical and biological properties of these nanosized systems may lead to the development of nanocarriers that possess acceptable efficacy/safety profiles.

[∗] Corresponding author. Tel.: +354 525 4464; fax: +354 525 4071. E-mail address: thorstlo@hi.is (T. Loftsson).

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Fig. 1. Size distribution of cyclodextrin molecules, microemulsions, nanogels, liposomes and microgels.

Cyclodextrins (CDs) are attractive building blocks for various types of drug delivery systems due to their favorable toxicological profile and their inherent ability to partly or completely host biologically active molecules (e.g., drugs), and to protect them from the external environment [\(Irie](#page-10-0) [and](#page-10-0) [Uekama,](#page-10-0) [1997\).](#page-10-0) Their capacity to form inclusion complexes is frequently maintained and even enhanced when the CD molecules self-assemble to form aggregates, crosslink together or copolymerize with other compounds. The high affinity of CDs for certain drug molecules is passed on to the carrier systems which endow them with a particular drug release mechanism [\(Alvarez-Lorenzo](#page-9-0) et [al.,](#page-9-0) [2009,](#page-9-0) [2010;](#page-9-0) [Otero-Espinar](#page-9-0) et [al.,](#page-9-0) [2010\).](#page-9-0) These properties are exploited to prepare supramolecular assembled entities—namely, poly(pseudo)rotaxanes and aggregates of amphiphilic CDs—for gene delivery or drug targeting [\(Bilensoy](#page-9-0) [and](#page-9-0) [Hincal,](#page-9-0) [2009;](#page-9-0) [Daoud](#page-9-0)Mahammed et [al.,](#page-9-0) [2007a;](#page-9-0) [Harada](#page-9-0) et al., [2009;](#page-9-0) [Li](#page-9-0) [and](#page-9-0) [Loh,](#page-9-0) 2008; Roux et [al.,](#page-9-0) [2007;](#page-9-0) [Wouessidjewe](#page-9-0) et [al.,](#page-9-0) [1996\)](#page-9-0) and crosslinked macrogels for mucosal- and ocular-controlled release.

The potential uses of microparticles and microcapsules containing CDs have been recently reviewed [\(Otero-Espinar](#page-10-0) et [al.,](#page-10-0) [2010\).](#page-10-0) Less attention has been given to the preparation of nanoscale CD networks. This work provides a review of the design, synthesis and pharmaceutical applications of CD-based crosslinked nanogels in which the tie junctions occur by means of complex formation or by covalent bonds. First, a general overview of hydrogel networks is given, which is followed by specific examples of CD nanogels and their applications for drug delivery.

2. Nanogels

Gels exhibit properties that are between those of solids and liquids. Structurally, a gel consists of a relatively small amount of solid components, mostly entangled polymers, dispersed in a large volume of liquid in which the solids form three-dimensional structures ([Alvarez-Lorenzo](#page-9-0) et [al.,](#page-9-0) [2011;](#page-9-0) [Tanaka,](#page-9-0) [1981;](#page-9-0) [Vinogradov](#page-9-0) et [al.,](#page-9-0) [2002;](#page-9-0) [Vintiloiu](#page-9-0) [and](#page-9-0) [Leroux,](#page-9-0) [2008\).](#page-9-0) Most biomedical gels contain water (i.e., they are hydrogels), and the polymer chains can be linked to each other either through weak interactions (physically crosslinked gels) or by covalent bonds (chemically crosslinked gels) [\(Peppas](#page-10-0) et [al.,](#page-10-0) [2000\).](#page-10-0) Hydrogels formed by networks of chemically crosslinked hydrophilic polymers swell in aqueous media without dissolution [\(Alvarez-Lorenzo](#page-9-0) [and](#page-9-0) [Concheiro,](#page-9-0) [2008\).](#page-9-0) True hydrogels are capable of absorbing large amounts of water or biological fluids (up to 99.9% in weight), which facilitates the diffusion of oxygen and nutrients and endows the hydrogels with a soft consistency [\(Alvarez-Lorenzo](#page-9-0) et [al.,](#page-9-0) [2011;](#page-9-0) [Peppas](#page-9-0) et [al.,](#page-9-0) [2000\).](#page-9-0) Gels prepared with an organic liquid phase (e.g., oil or organic solvent) are known as organogels [\(Vintiloiu](#page-11-0) [and](#page-11-0) [Leroux,](#page-11-0) [2008\).](#page-11-0) The removal of the liquid phase by means of conventional (evaporation) or supercritical fluids-based methods leads to spongy structures of low (xerogels) or high (aerogels) porosity ([Goksu](#page-9-0) et [al.,](#page-9-0) [2010;](#page-9-0) [Quintanar-Guerrero](#page-9-0) et [al.,](#page-9-0) [2009\).](#page-9-0) [Table](#page-2-0) 1 summarizes the primary characteristics of various types of gels.

Nanogels combine the advantages of hydrogels with those that are inherent to their nanoscale size [\(Kettel](#page-10-0) et [al.,](#page-10-0) [2011\).](#page-10-0) Thus, similar to macrogels, the nanogel network can host and protect drug molecules, and the release of the drug molecules from the nanogels can be regulated by the incorporation of high-affinity functional groups, stimuli-responsive conformations or biodegradable bonds into the polymer network [\(Sawada](#page-11-0) et [al.,](#page-11-0) [2011\).](#page-11-0) The applications of stimuli-responsive nanogels for drug delivery have been recently reviewed by [Zha](#page-11-0) et [al.](#page-11-0) [\(2011\).](#page-11-0) The hydrophilicity of the nanogels enables them to be easily dispersed in aqueous media forming freeflowing opalescent solutions [\(Oh](#page-10-0) et [al.,](#page-10-0) [2008;](#page-10-0) [Samah](#page-10-0) et [al.,](#page-10-0) [2010;](#page-10-0) [Sawada](#page-10-0) et [al.,](#page-10-0) [2011\).](#page-10-0) Thus, nanogels can be easily administered in liquid dosage form for, for example, parenteral or mucosal administration. The nanoscale size of nanogels also leads to a high specific surface area that is available for the bioconjugation of active targeting agents. Size modulations of the nanogels can also affect their pharmacokinetics. Nanogels are able to carry encapsulated drug molecules to targeted tissues or cell structures without premature leakage of the drug into the blood stream or other tissues,

as recently confirmed in in vivo studies [\(Nukolova](#page-10-0) et [al.,](#page-10-0) [2011\).](#page-10-0) Consequently, lower doses are required, and fewer side effects will be observed ([Guerrero-Ramirez](#page-10-0) et [al.,](#page-10-0) [2008;](#page-10-0) [Samah](#page-10-0) et [al.,](#page-10-0) [2010;](#page-10-0) [Takahashi](#page-10-0) et [al.,](#page-10-0) [2011;](#page-10-0) [Wang](#page-10-0) et [al.,](#page-10-0) [2008\).](#page-10-0) Nanogels are also being explored as nonviral vectors for DNA transfection [\(Davis,](#page-9-0) [2009\).](#page-9-0)

The synthetic methods used to obtain nanogels can be classified into two main groups: (a) methods that directly render nanometric networks, also known as bottom-up methods, and (b) methods that lead to macro networks that are subsequently broken down into nanoscale size, which are known as top-downmethods [\(Kumar](#page-10-0) [and](#page-10-0) [Khan,](#page-10-0) [2010\).](#page-10-0) In both methods, the starting point can be (i) preformed polymer chains, such as natural polysaccharides (chitosan, alginate) or semi-synthetic derivatives (cellulose ethers), which are bound together primarily through hydrophobic or ionic interactions or condensation reactions with crosslinking agents [\(Oh,](#page-10-0) [2010\)](#page-10-0) or (ii) monomeric units (acrylic, vinyl) that undergo simultaneous polymerizationandcrosslinking reactions ([Vinogradov](#page-11-0) et [al.,](#page-11-0) [2002\).](#page-11-0) The nanogels obtained can exhibit a large variety of spatial arrangements, as depicted in [Table](#page-3-0) 2. Because composition and shape are highly tunable, the physicochemical features and the performance of the nanogels as drug delivery systems can be remarkably varied. Several common analytical techniques used to characterize nanogels are summarized in [Table](#page-4-0) 3 . In oral drug delivery, nanogels have been used to protect chemically unstable peptides from harsh manufacturing and physiological environments and to enhance the drug absorption at specific sites within the gastrointestinal tract [\(Ichikawa](#page-10-0) et [al.,](#page-10-0) [2006;](#page-10-0) [Ichikawa](#page-10-0) [and](#page-10-0) [Fukumori,](#page-10-0) [2007\).](#page-10-0) For example, to target the colon, the dosage form must overcome various barriers within the gastrointestinal tract, such as a steep pH gradient, premature binding to the mucus layers, and premature clearance or cellular uptake ([O'Donnell](#page-10-0) [and](#page-10-0) [Iii,](#page-10-0) [2011\).](#page-10-0) Apart from their use as carriers for small molecular weight drugs, nanogels have been used to deliver therapeutic proteins, small interfering RNAs, oligonucleotides, antigens, vaccines and hormones via oral, rectal, ocular, nasal,pulmonary, andvaginal routes [\(Gupta](#page-10-0) et [al.,](#page-10-0) [2011;Kriegel](#page-10-0) [and](#page-10-0) [Amiji,](#page-10-0) [2011;](#page-10-0) [Patel](#page-10-0) [and](#page-10-0) [Patel,](#page-10-0) [2010;](#page-10-0) [Ravaine](#page-10-0) et [al.,](#page-10-0) [2008;](#page-10-0) [Yadav](#page-10-0) et [al.,](#page-10-0) [2009\).](#page-10-0) Nanogels have also been used for other biomedically related applications, such as artificial enzymes, functionalized coatings and biomarker sensors ([Thorne](#page-11-0) et [al.,](#page-11-0) [2011\).](#page-11-0)

The primary drawbacks to the use of nanogels compared with other delivery systems are their limited drug loading efficiency and still suboptimal regulation of drug release. These limitations have prompted a search for moieties that possess high affinities for specific drugs and retain them through electrostatic, van der Waals and/or hydrophobic interactions [\(Wang](#page-11-0) [and](#page-11-0) [von](#page-11-0) [Recum,](#page-11-0) [2011\).](#page-11-0) However, strong drug–polymer interactions can decrease the nanogel's hydrophilicity and cause the nanogel structure to collapse, irreversibly entrapping the drug molecules within the shrunken structure. Such phase separation can be avoided by enhancing the hydrophilicity of the nanogel matrix ([Kabanov](#page-10-0) [and](#page-10-0) [Vinogradov,](#page-10-0) [2009\);](#page-10-0) the ability of CDs to act as drug-hosting agents without compromising the hydrophilicity of the nanogel is a valuable asset, as explained below.

3. Cyclodextrin-based nanogels

General information regarding CD structure and its physicochemical features can be found in previously published reviews on the subject ([Loftsson](#page-10-0) [and](#page-10-0) [Brewster,](#page-10-0) [2011;](#page-10-0) [Loftsson](#page-10-0) [and](#page-10-0) [Duchene,](#page-10-0) [2007;](#page-10-0) [Szejtili,](#page-10-0) [2004\).](#page-10-0) The ability of CDs to form inclusion complexes with a wide variety of organic molecules and their ability to solubilize poorly soluble drugs is already well-established, and comprehensive information on the subject can be found in other texts [\(Brewster](#page-9-0) [and](#page-9-0) [Loftsson,](#page-9-0) [2007;](#page-9-0) [Rekharsky](#page-9-0) [and](#page-9-0) [Inoue,](#page-9-0) [1998;](#page-9-0)

Table 1

Table 2

Classification of nanogels (i.e., nanoparticulate hydrogels) according to their structure.

Type	Schematic structure	Network structure	Examples	References
		Crosslinked	γCD nanogels HPβCD nanogels Artificial chaperone, cholesterol-bearing	Moya-Ortega et al. (2012) Inomoto et al. (2009)
Nanogel		Self-assembled	pullulan (CHP) nanogel Artificial chaperone, cholesterol enzymatically synthesized glycogen (CHESG) nanogel	Takahashi et al. (2011)
		Semi-interpenetrating polymer	MD-pβCD nanogel Quantum dot nanogels	Daoud-Mahammed et al. (2009) Wu et al. (2010)
		network (semi-IPN) Crosslinked		
Hairy nanogel			Stimuli-responsive nanogels	Shen et al. (2011)
		Self-assembled		Delaittre et al. (2007)
Functionalized nanogel		Crosslinked	Poly(ethylene glycol)-b-poly(methacrylic acid) (PEG-b-PMA) with PEG-terminal aldehyde	Nukolova et al. (2011)
			functionality	
Core-shell nanogel		Crosslinked	Stimuli sensitive/responsive nanogels	Sun et al. (2005), Zschoche et al. (2011)
Hollow nanogel		Interpenetrating polymer network	Stimuli sensitive/responsive nanogels	Xing et al. (2011)
		(IPN)		
Multilayer nanogel		Crosslinked	Stimuli sensitive/responsive nanogels	Wong et al. (2009)

Table 3

Analytical techniques used to characterize nanogels.

Nomenclature: AFM: atomic force microscopy; DLS: dynamic light scattering; FTIR: Fourier transform infrared; ITC: isothermal titration calorimetry; SANS: small-angle neutron scattering; SAXS: small-angle X-ray scattering; SEC-MALS: size exclusion chromatography-multi-angle laser light scattering; SEM: scanning electron microscopy; TEM: transmission electron microscopy.

^a Studies carried out with nanoparticles.

[Uekama](#page-9-0) et [al.,](#page-9-0) [1998;](#page-9-0) [Vemula,](#page-9-0) [2010\).](#page-9-0) Although CDs are recognized by the pharmaceutical, cosmetic and personal care industries as promising host agents, drug–CD complexes have not, in general, led to sustained release profiles, nor have they been adapted to target drug delivery to specific diseased cells and tissues [\(Daoud-](#page-9-0)Mahammed et [al.,](#page-9-0) [2009;](#page-9-0) [Jansook](#page-9-0) et [al.,](#page-9-0) [2010;](#page-9-0) [Kettel](#page-9-0) et [al.,](#page-9-0) [2011;](#page-9-0) [Loftsson](#page-9-0) [and](#page-9-0) [Brewster,](#page-9-0) [2010;](#page-9-0) [Messner](#page-9-0) et [al.,](#page-9-0) [2010;](#page-9-0) [Moya-Ortega](#page-9-0) et [al.,](#page-9-0) [2010\).](#page-9-0) However, the ability of CDs to form drug inclusion complexes and their favorable toxicological profiles make CDs suitable building blocks for the design of advanced materials for the delivery of both hydrophobic and hydrophilic drugs and even genes ([Alvarez-Lorenzo](#page-9-0) et [al.,](#page-9-0) [2011;](#page-9-0) [Ortiz-Mellet](#page-9-0) et [al.,](#page-9-0) [2011;](#page-9-0) [Otero-Espinar](#page-9-0) et [al.,](#page-9-0) [2010\).](#page-9-0) Incorporation of CD moieties into a matrix structure has a twofold purpose: (1) to provide an affinitybased mechanism for drug loading and control of drug release through the formation of inclusion complexes and (2) to enhance the hydrophilicity of the polymer matrix. The benefits of CD incorporation over the conventional macro/micro-hydrogels used for sustained delivery have already been demonstrated ([Trotta](#page-11-0) et [al.,](#page-11-0) [2011\).](#page-11-0) Covalent attachment of CDs to chemically crosslinked

Table 4

Synthetic methods for the preparation of CD-based nanogels.

networks may enable CDs to fully display their complexation capabilities, while preventing the dilution phenomenon (i.e., the drug release upon vehicle dilution that occurs when physical gels or CD-containing solutions are administered). Nanogels dispersed in water form CD-rich colloidal networks that are able to interact with the guest drug molecules and are capable of controlling drug release by utilizing the affinity of the drug molecules for the CD cavities. As previously mentioned, nanogels combine the advantages of hydrogels and nanoparticles into a single carrier that can be tailored for specific therapeutic molecules, such as low molecular weight drugs, peptides or relatively large proteins, and target them to specific tissues or cells. Alternatively, CDs can be grafted onto free polymeric chains to play a dual role: as the crosslinking agent and as the host for the guest drug. To perform both functions, certain CD cavities can be involved in forming complexes with the hydrophobic groups of adjacent polymeric chains, acting as tie junctions or crosslinking points, while the other CDs interact with the drug molecules. The different methods for the preparation of CD-based nanogels are depicted in Table 4. Taking into account the nature of the crosslinking points, these methods can be classified into three groups: (i) key–lock interactions among preformed chains, with some bearing CDs and others possessing groups that fit into the CDs, (ii) direct covalent crosslinking of CD units by condensation with suitable bi/multifunctional agents, (iii) polymerization of CD monomers bearing acrylic or vinyl moieties ([Alvarez-Lorenzo](#page-9-0) et [al.,](#page-9-0) [2009;](#page-9-0) [Gref](#page-9-0) et [al.,](#page-9-0) [2006;](#page-9-0) [Kettel](#page-9-0) et [al.,](#page-9-0) [2011\).](#page-9-0)

3.1. Key–lock nanogels

The mixing of two different polymer chains, one containing covalently bonded CD moieties and the other containing moieties that are able to form complexes with the CD moieties of the first, leads to a spontaneous assembly in aqueous media. Such CDmediated crosslinking of the chains resembles a zipper, or key-lock fitting, and is being studied to find ways of increasing the apparent viscosity of the entire system or to prepare nano-sized aggregates dependent on the total concentration of both polymers that lead to networked hydrogels with desirable physical properties (reversibility) and chemical properties (high stability upon dilution). The dynamic character of the complex formation enables the tie junctions to break when forced to pass through fine-gauged needles (e.g., during injection) and to re-form within a few seconds once the force is removed (e.g., after injection)([Daoud-Mahammed](#page-9-0) et [al.,](#page-9-0) [2007b\).](#page-9-0) Key–lock networks have been reported for blends of polymers containing pendant $BCDs$ and polymers with functional groups, such as 4-tert-butyl anilide, dodecyl or adamantyl, poly(ethylene glycol), cholesterol or aromatic rings ([Auzely-Velty](#page-9-0) [and](#page-9-0) [Rinaudo,](#page-9-0) [2002;](#page-9-0) [Hashidzume](#page-9-0) et [al.,](#page-9-0) [2005;](#page-9-0) [Osman](#page-9-0) et [al.,](#page-9-0) [2011;](#page-9-0) [van](#page-9-0) [de](#page-9-0) [Manakker](#page-9-0) et [al.,](#page-9-0) [2009;](#page-9-0) [Wenz](#page-9-0) et [al.,](#page-9-0) [2000;](#page-9-0) [Wintgens](#page-9-0) et [al.,](#page-9-0) [2005,](#page-9-0) [2008\).](#page-9-0)

Stable nanogel particles have been obtained by mixing polymers of β CD (poly- β CD) with dextrans bearing alkyl side chains at concentrations ranging between 0.1 and 1% (w/w) ([Gref](#page-9-0) et [al.,](#page-9-0) [2006\).](#page-9-0) The nanogels are loaded with drugs that form complexes with the poly-BCD before mixing with the dextran. The remaining empty CDs participate in the tie junctions with the alkyl chains of the dextran. The formation and stability of these selfassembled nanogels were found to depend on the percentage of the glucose units that had been substituted with alkyl chains in the dextran (dextran grafted with alkyl moieties, MD), the polymer concentration, the number of carbons in the alkyl chains, the poly-BCD molar mass and the weight ratio of MD to poly-BCD. These nanogels can be freeze-dried and reconstituted in water without any change in particle size. Other advantages of these self-assembled systems include the stability of the nanogel upon dilution in water and the rapidity of the nanogel formation ([Gref](#page-9-0) et [al.,](#page-9-0) [2006\).](#page-9-0) The presence of a hydrophobic guest molecule (benzophenone, BZ) in the system hinders the sequestration of the dextran alkyl moieties by the BCD in the polymer without impeding the formation of 100–200-nm associative nano-assemblies or compromising their stability [\(Daoud-Mahammed](#page-9-0) [and](#page-9-0) [Grossiord,](#page-9-0) [2007\).](#page-9-0) Moreover, the highest BZ loadings were obtained by solubilizing $+$ -BZ in both the poly- β CD and MD solutions before mixing them to form nanogels ([Daoud-Mahammed](#page-9-0) et [al.,](#page-9-0) [2009\).](#page-9-0) These types of gels enabled the sustained delivery of benzophenone and tamoxifen for more than one week [\(Daoud-Mahammed](#page-9-0) [and](#page-9-0) [Grossiord,](#page-9-0) [2007\).](#page-9-0) Furthermore, the grafting ratio of β CD and adamantly can be modulated to finely tune the association properties of the polymers and thus the size and the swelling properties of the nanogels [\(Wintgens](#page-11-0) et [al.,](#page-11-0) [2011\).](#page-11-0) These features in combination with the available in vivo compatibility data greatly increase the possible applications for self-assembling CD gels in the biomedical field. In fact, these systems have been considered promising candidates for controlled/targeted drug delivery, due to their unique ability to disrupt in a controlled manner. [Nielsen](#page-10-0) et [al.](#page-10-0) [\(2009\)](#page-10-0) studied the controlled disruption of the self-assembling microparticles formed by poly- β CD and hydrophobically modified dextran. The disruption occurred with the addition of hydroxy-adamantane, which has a strong affinity toward the β CD cavities. The disruption provoked a change in the shape of the particles from the spherical shape found in the fresh particles to a more random one.

3.2. Nanogels of crosslinked CD units

The large number of reactive hydroxyl groups in the native CD structure and the hydroxyl, carboxylic acid or amine groups in the CD derivatives can be exploited to prepare covalently crosslinked networks by means of condensation reactions with bi/multifunctional agents. These groups may also be used to synthesize reactive CD monomers suitable for polymerization reactions (this last point is covered in next section). Several crosslinking agents containing aldehyde, ketone, isocyanate or epoxide groups have been evaluated for the first approach [\(Alvarez-Lorenzo](#page-9-0) et [al.,](#page-9-0) [2009\).](#page-9-0) The reaction with epichlorohydrine (EPI) has been described in detail, and the resulting microgels with sizes that depend on the relative proportion and total concentration of CDs and EPI have been shown to be useful as selective traps for the extraction of contaminants from water or food. These microgels have also found use in separation science and as platforms for drug delivery systems [\(Crini,](#page-9-0) [2005;](#page-9-0) [Liu](#page-9-0) et [al.,](#page-9-0) [2004;](#page-9-0) [Schneiderman](#page-9-0) [and](#page-9-0) [Stalcup,](#page-9-0) [2000;](#page-9-0) [Zhang](#page-9-0) et [al.,](#page-9-0) [2008\).](#page-9-0) A series of quaternary ammonium $BCD (QABCD)$ nanoparticles with differing charge densities were synthesized by a one-step condensation polymerization of β CD, choline chloride, and EPI [\(Gil](#page-9-0) et [al.,](#page-9-0) [2009\).](#page-9-0) These nanoparticles showed an enhanced permeability across bovine brain microvessel endothelial cell (BBMVEC) monolayers, with their permeation being dependent upon the number of quaternary ammonium groups. No toxic effect was found in BBMVEC when cultured in the presence of particles (500 μ g/mL) for 24 h. Therefore, QAβCD

nanoparticles are considered promising carriers across the blood brain barrier (BBB) for doxorubicin ([Gil](#page-9-0) et [al.,](#page-9-0) [2009\)](#page-9-0) and other therapeutics [\(Gil](#page-9-0) [and](#page-9-0) [Lowe,](#page-9-0) [2008\)](#page-9-0) to treat brain disorders.

Active carbonyl compounds have been used as crosslinkers to obtain CD nanosponges (CDNS) [\(Ansari](#page-9-0) et [al.,](#page-9-0) [2011a;](#page-9-0) [Trotta](#page-9-0) [and](#page-9-0) [Cavalli,](#page-9-0) [2009;](#page-9-0) [Trotta](#page-9-0) et [al.,](#page-9-0) [2011\).](#page-9-0) These nanosponges are solid nanoparticles and can be prepared in crystalline form with a spherical shape using an ultrasound-assisted preparation method (top-down approach) ([Trotta](#page-11-0) [and](#page-11-0) [Cavalli,](#page-11-0) [2009\).](#page-11-0) The particle sizes ranged from 350 to 600 nm with low polydispersity indices [\(Ansari](#page-9-0) et [al.,](#page-9-0) [2011a,b;](#page-9-0) [Swaminathan](#page-9-0) et [al.,](#page-9-0) [2010;](#page-9-0) [Trotta](#page-9-0) [and](#page-9-0) [Cavalli,](#page-9-0) [2009\).](#page-9-0) CDNS are nanoporous materials with pore sizes that can be modulated by the suitable choice of a CD/crosslinker mole ratio ([Mele](#page-10-0) et [al.,](#page-10-0) [2011\).](#page-10-0) CDNS and nanogels are able to carry both lipophilic and hydrophobic drugs (i.e., itraconazole, Swaminathan et [al.,](#page-11-0) [2007;](#page-11-0) dexamethasone, [Trotta](#page-11-0) [and](#page-11-0) [Cavalli,](#page-11-0) [2009;](#page-11-0) and resveratrol, [Ansari](#page-9-0) et [al.,](#page-9-0) [2011a\).](#page-9-0) Furthermore, CDNS are able to form inclusion complexes with gases, which is a property that can be useful for many biomedical applications ([Cavalli](#page-9-0) et [al.,](#page-9-0) [2010;](#page-9-0) [Trotta](#page-9-0) et [al.,](#page-9-0) [2011\).](#page-9-0) CDNS crosslinked with pyromellitic dianhydride (PMA) have shown swelling properties, which rise to the level of gellike behavior, in the presence of aqueous solutions ([Mele](#page-10-0) et [al.,](#page-10-0) [2011\).](#page-10-0)

The crosslinking reactions can be adapted to directly synthesize nanogels by applying the water-in-oil heterogeneous gelation approach, which is a gentle way of obtaining micro- and nanogels from natural polysaccharides [\(Antoniette](#page-9-0) [and](#page-9-0) [Landfester,](#page-9-0) [2002;](#page-9-0) [Oh](#page-9-0) et [al.,](#page-9-0) [2009\).](#page-9-0) CDs have also been shown to be useful for regulating the nanostructure of coalescent polymers [\(Tonelli,](#page-11-0) [2008\)](#page-11-0) or for creating novel nano- and microstructures for drug delivery ([Johnson](#page-10-0) et [al.,](#page-10-0) [2010;](#page-10-0) [Marui](#page-10-0) et [al.,](#page-10-0) [2010\).](#page-10-0) The water-in-oil emulsion method involves two steps: (i) emulsification of an aqueous solution of CD and the crosslinking agent in an oily phase containing a suitable surfactant and (ii) the treatment of the emulsion under adequate pH/temperature conditions to induce the crosslinking reaction and the formation of the nanogels. The therapeutic agents and, if needed, polysaccharides can be added to the aqueous phase before emulsification. The nanogels adopt the spherical morphology of the droplets in the internal phase of the emulsion. The size of the spheres is primarily determined by the energy applied for the emulsification and by the nature and proportion of the surfactant ([Oh](#page-10-0) et [al.,](#page-10-0) [2009\).](#page-10-0) The nanogels can be recovered from the oily phase by dialysis or by centrifugation and subsequently stored as a freeze-dried powder. This technology has been applied to prepare α -cyclodextrin (α CD) nanospheres (diameter = 185–1600 nm) crosslinked with isophorone diisocyanate in hexadecane [\(Baruch-Teblum](#page-9-0) et [al.,](#page-9-0) [2010\).](#page-9-0) Diisocyanates can also be used to obtain CD-based hydrophilic, hyperbranched polymers that exhibit the ability to form complexes with guest molecules [\(Chen](#page-9-0) et [al.,](#page-9-0) [2003\)](#page-9-0) and to prepare nanoporous CD particles that rapidly retain solutes from aqueous environments and release them into organic phases ([Ma](#page-10-0) [and](#page-10-0) [Li,](#page-10-0) [1999\).](#page-10-0) An emulsion technique for the interfacial crosslinking of β CDs with diacyl chlorides has been developed to prepare microcapsules with walls made of crosslinked CDs ([Pariot](#page-10-0) et [al.,](#page-10-0) [2000\).](#page-10-0) This capsule-like structure has the advantage of the easy accessibility of guest molecules to the CD cavities, thereby enabling completion of the loading in 5 min. Furthermore, the microcapsules control the release of propranolol for several hours ([Pariot](#page-10-0) et [al.,](#page-10-0) [2002\).](#page-10-0) BCD microparticles containing anionic polysaccharides (carboxymethyl or sulfopropyl pullulan) have been prepared using 3-(glycidoxypropyl) trimethoxysilane. This crosslinking agent can act both through grafting with the epoxy-end on the hydroxyl groups of the CD and the polysaccharide and through hydrolysis and condensation of the methoxy silane groups at the other end. The microparticles demonstrated the capability to retain water pollutants (phenol and benzoic acid

Fig. 2. Flow chart for the preparation of CD-based nanogels.

 $derivatives, \beta-naphthol$), drugs (salicylic acid, indomethacin) and proteins (lysozyme) [\(Mocanu](#page-10-0) et [al.,](#page-10-0) [2009\).](#page-10-0)

The water-in-oil emulsion method has recently been adapted to prepare γ -cyclodextrin (γ CD) or hydroxypropyl- β -cyclodextrin (HPCD) nanogels in which the crosslinking takes place simultaneously with an emulsification/solvent evaporation process (Fig. 2) ([Moya-Ortega](#page-10-0) et [al.,](#page-10-0) [2012\).](#page-10-0) The aqueous phase consisted of 20% (w/w) CDs with or without hydroxypropyl methylcellulose (HPMC) or agar at various concentrations, to which the cross-linker agent ethylene glycol diglycidyl ether (EGDE) was added. It has been previously shown that CDs can directly react with bifunctional epoxide groups, such as EGDE, to form networks comprising not only CDs but also other polysaccharides or acrylic polymers that enable a fine modulation of the mechanical properties and the drug delivery features [\(Komiyama](#page-10-0) [and](#page-10-0) [Hirai,](#page-10-0) [1987;](#page-10-0) [Lopez-Montero](#page-10-0) et [al.,](#page-10-0) [2009;](#page-10-0) [Moya-Ortega](#page-10-0) et [al.,](#page-10-0) [2010;](#page-10-0) [Rodriguez-Tenreiro](#page-10-0) et [al.,](#page-10-0) [2006,](#page-10-0) [2007a,b\).](#page-10-0) An EGDE:CD 1:1 (w/w) ratio was chosen to provide a sufficient amount of crosslinking agent to react with 2 out of 3 of the hydroxyl groups on each CD glucopyranose unit. The aqueous solution was heated at 60 ℃ for 25 min before mixing with the organic phase to trigger the reaction of the CDs with the EGDE. A reduction in the reaction time led to the migration of the EGDE to the organic solvent and a poor reaction yield, while heating for more than 30 min increased the risk of the formation of a conventional hydrogel in the aqueous solution prior to the addition of the organic phase. In certain cases, surfactants may be not needed to prepare the emulsions, due to the intrinsic capability of the CDs to act as emulsifiers ([Inoue](#page-10-0) et [al.,](#page-10-0) [2009\).](#page-10-0)

3.3. Nanogels obtained by the polymerization of CD monomers

Nanogels of CDs can be also prepared by the heterogeneous free-radical polymerization of CD units previously modified with reactive double bonds. A large variety of CD monomers has been described in the literature [\(Alvarez-Lorenzo](#page-9-0) et [al.,](#page-9-0) [2009\).](#page-9-0) The presence of a high number of equally reactive hydroxyl groups makes the preparation of monofunctional monomers particularly challenging. Thus, most publications describe multifunctional monomers [\(Fig.](#page-8-0) 3). To obtain discrete micro- and nanogels instead of bulk soft gels or macroporous monoliths, relatively high crosslinking ratios and a large volume of solvent are required ([Alvarez-Lorenzo](#page-9-0) [and](#page-9-0) [Concheiro,](#page-9-0) [2006;](#page-9-0) [Cormack](#page-9-0) [and](#page-9-0) [Elorza,](#page-9-0) [2004\).](#page-9-0) In contrast to the numerous examples of CD-containing hydrogels that are ground to render nanosized particles ([Alvarez-](#page-9-0)Lorenzo et [al.,](#page-9-0) [2010;](#page-9-0) [Asanuma](#page-9-0) et [al.,](#page-9-0) [2000\),](#page-9-0) direct synthesis of CD-containing nanogels is still rarely reported.

Precipitation polymerization has been applied to the synthesis of β CD-co-poly(N-isopropylacrylamide) nanogels [\(Liu](#page-10-0) et [al.,](#page-10-0) [2009\).](#page-10-0) This method involved the preparation of a monovinyl β -CD monomer (GMA-EDA- β -CD; [Fig.](#page-8-0) 3d) dispersed in an aqueous solution of N-isopropylacrylamide (NIPAAm), N,Nmethylenebis(acrylamide) (BIS, crosslinker), and surfactant. The initiator (ammonium persulfate) was added to the dispersion, and the solution was maintained at 70° C under stirring for 5 h. The crosslinker is essential, due to the solubility of the copolymer in the aqueous medium. Thus, in the absence of BIS, linear chains would be formed instead of nanoparticles. These nanogels (diameter = 106–115 nm) were subsequently used as cores to be coated with shell layers of pNIPAAm, applying a second precipitation polymerization step, with the purpose of combining the ability of the CDs to form inclusion complexes with the temperatureresponsiveness of the pNIPAAm. In fact, the core-shell nanogels (diameter = 133–142 nm) shrank at 37° C (diameter = 65–90 nm). Paeonol was loaded onto the core–shell nanogels by immersion in aqueous solutions and underwent sustained released at 37 ◦C. The yield in the polymerization of the monovinyl CD monomer was notably low; therefore, the contribution of the inclusion complexes to the drug release control was minor ([Liu](#page-10-0) et [al.,](#page-10-0) [2009\).](#page-10-0)

Nanogels based on poly(N-vinylcaprolactam) and α -, β - or γ CD have recently been prepared by surfactant-free precipitation polymerization ([Kettel](#page-10-0) et [al.,](#page-10-0) [2011\).](#page-10-0) The CDs were previously modified to have 2, 4 or 6 acryloyl substituents ([Fig.](#page-8-0) 3a) and were subsequently added to aqueous solutions containing Nvinylcaprolactam, acetoacetoxyethyl methacrylate (AAEM), and an initiator. The bi/multifunctional polymerizable groups in the CDs made the use of the crosslinker BIS optional. After stirring at 70 ◦C for 12 h, the obtained nanogels were purified by ultrafiltration. The incorporation efficiency of the CDs ranged between 47 and 80%, and the size of the nanogels diminished from 230 to 60 nm as their CD content increased. The nanogels, after being freezedried, could be easily redispersed in water to form aggregate-free dispersions with excellent colloidal stability. The presence of poly(N-vinylcaprolactam) endowed the nanogels with temperature responsiveness, swollen at temperatures below 32 ℃ and collapsed at higher temperatures. Poly(N-vinylcaprolactam)-co-CD nanogels can be transferred to organic solvents, thereby allowing the potential incorporation of water-insoluble compounds into the nanogel structure. These nanogels can be also utilized in reaction catalysis or separation processes ([Kettel](#page-10-0) et [al.,](#page-10-0) [2011\).](#page-10-0)

Fully biodegradable nanogels have been prepared from a polylactic acid (PLA) macromonomer and a vinyl β CD monomer (obtained by the reaction of 1-allyloxy-2,3-epoxy propane, AGE) [\(Fig.](#page-8-0) 4) ([Lu](#page-10-0) et [al.,](#page-10-0) [2008\).](#page-10-0) The size ranged between 60 and 260 nm depending on the PLA/BCD ratio and the degree of substitution of the vinyl β CD monomer. An increase in vinyl groups on the β CD monomer from 1 to 7 led to a higher crosslinking density, which resulted in a decrease in the swelling ratios and the rate of degradation (50–23% weight loss in 42 days). Although these nanogels were not tested with regards to their ability to load/release drugs, they might be useful as biodegradable and biocompatible carriers.

In recent years, the use of nanogels as artificial chaperones has been studied [\(Asayama](#page-9-0) et [al.,](#page-9-0) 2008; Ikeda et al., 2006; Inomoto et al., [2009;](#page-9-0) [Morimoto](#page-9-0) et [al.,](#page-9-0) [2005a,b;](#page-9-0) [Sasaki](#page-9-0) et [al.,](#page-9-0) [2011;](#page-9-0) [Sawada](#page-9-0) et [al.,](#page-9-0) [2011;](#page-9-0) [Takahashi](#page-9-0) et [al.,](#page-9-0) [2011\).](#page-9-0) Amphiphilic enzymatically synthesized glycogen (ESG) nanoballs were synthesized by introducing a cholesterol group to ESG (CHESG). CHESG was assembled into a structure containing a few molecules to form cluster nanogels (measuring approximately 35 nm in diameter) in water. The cluster nanogels were dissociated by the addition of cyclodextrin H_2N

(f) Acrylamidomethyl-CD

‼

(b) 6-O-(p-tosyl)-CD

(a) Acryloyl-CD

NH

(g) Maleic anhydride (MAH)-CD

(e) Mono-(6-N-allylamino-6-deoxy)-CD

(i) 2,3-di-O-methacrylated-6-methacrylated-CD

Fig. 3. Structures of the monomeric derivatives of CD used for synthesizing polymeric networks. Adapted from [Alvarez-Lorenzo](#page-9-0) et [al.](#page-9-0) [\(2010\).](#page-9-0)

Biodegraded cross-linked microgel

(CD) to form a supramolecular CHESG-CD nanocomplex through cholesterol/CD complexation. The CHESG nanogel exhibited a high protein-complexation capacity, and the CHESG-CD nano-complex showed great chaperone-like activity for the thermal stabilization of enzymes [\(Sasaki](#page-11-0) et [al.,](#page-11-0) [2011;](#page-11-0) [Sawada](#page-11-0) et [al.,](#page-11-0) [2011;](#page-11-0) [Takahashi](#page-11-0) et [al.,](#page-11-0) [2011\).](#page-11-0) Hybrid hydrogels with nanogel domains are another type of system containing nanogels that can be used as artificial chaperones ([Morimoto](#page-10-0) et [al.,](#page-10-0) [2005a\).](#page-10-0) The immobilized nanogels retained their ability to trap and release protein by the host–guest interactions between the cholesteryl group and the CD and exhibited high chaperone-like activity for the refolding of chemically denatured proteins.

Cyclodextrin-based nanogels provide useful functionalities, such as effective bioconjugation, good surface adhesion, controlled complexation and release of drugs, and utility as cosmetic ingredients, dyes or antimicrobial agents ([Guerrero-Ramirez](#page-10-0) et [al.,](#page-10-0) [2008;](#page-10-0) [Gupta](#page-10-0) et [al.,](#page-10-0) [2011;](#page-10-0) [Ikeda](#page-10-0) et [al.,](#page-10-0) [2006;](#page-10-0) [Kettel](#page-10-0) et [al.,](#page-10-0) [2009\).](#page-10-0) They also find utility in protein stabilization, gene therapy, tissue engineering, regenerative medicine and biosensors (Gref et al., 2006; Jensen et al., 2012; Oh et al., 2008; Samah et al., 2010; Sawada et al., 2006).

The chemical functionality of nanogels is highly important for their application as drug delivery systems. For this purpose, CDbased nanogels have been developed. As referenced above, CDs are integrated as the functional units of nanogels in polymer networks in which the CD moieties act as carriers of molecules with poor water solubility. The size of the CD-based nanogels allows their application on both a molecular and cellular level, and the large surface-to-weight ratio of the nanoparticles leads to CD-moieties that are more accessible than the larger three-dimensional bulk gels. Moreover, CD-based nanogels provide useful functionalities, such as effective bioconjugation, good adhesion to surfaces, controlled complexation and the relatively rapid release of drugs, in addition to their utility as cosmetic ingredients, dyes or antimicrobial agents ([Kettel](#page-10-0) et [al.,](#page-10-0) [2011\).](#page-10-0) Studies are ongoing regarding the use of CD-based nanogels in drug delivery (Daoud-Mahammed et al., 2009; Moya-Ortega et al., 2012).

4. Conclusions

Nanogels containing CDs are promising tools for the delivery of drugs and other applications in the biomedical field, owing to their unique set of properties that ideally fit the conditions of drug transport via systemic routes of administration. The use of nanogels allows the improvement of the biopharmaceutical parameters of entrapped drugs. As research in this field continues, it is becoming clear that nanogels hold great promise as nanoscale platforms for multifunctional biomaterials.

Conflict of interest

The authors declare that they have no conflicts of interest to disclose.

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